

# Searching for synergies in different sources of European financing: Cohesion Policy (CP), FP7, CIP

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European Research Infrastructures for Innovation & Development  
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# A relevant context to address synergies

- **EU 2020 (03/10), WIRE (03/10) & EP resolution (05/10)**
- **Titles of this conference and of this session**
  - **EU Research Infrastructures For Innovation & Development**
  - **Financing research & development to serve economy**
- **RIs / R&D, key elements in a regional innovation system =**
  - Universities and Higher Education Institutions,
  - Research centres and technology institutes,
  - Businesses and their (multi)sectoral organisations, social partners, regional development agencies,
  - Other stakeholders: NGOs, consumers & citizens associations,
  - Public authorities representing the different policy areas.
- **RIs / R&D, not the only starting point for innovation:**
  - Demand-driven innovation and non technological innovation,
  - From a linear approach to an interactive approach

# Different policies, complementary aims

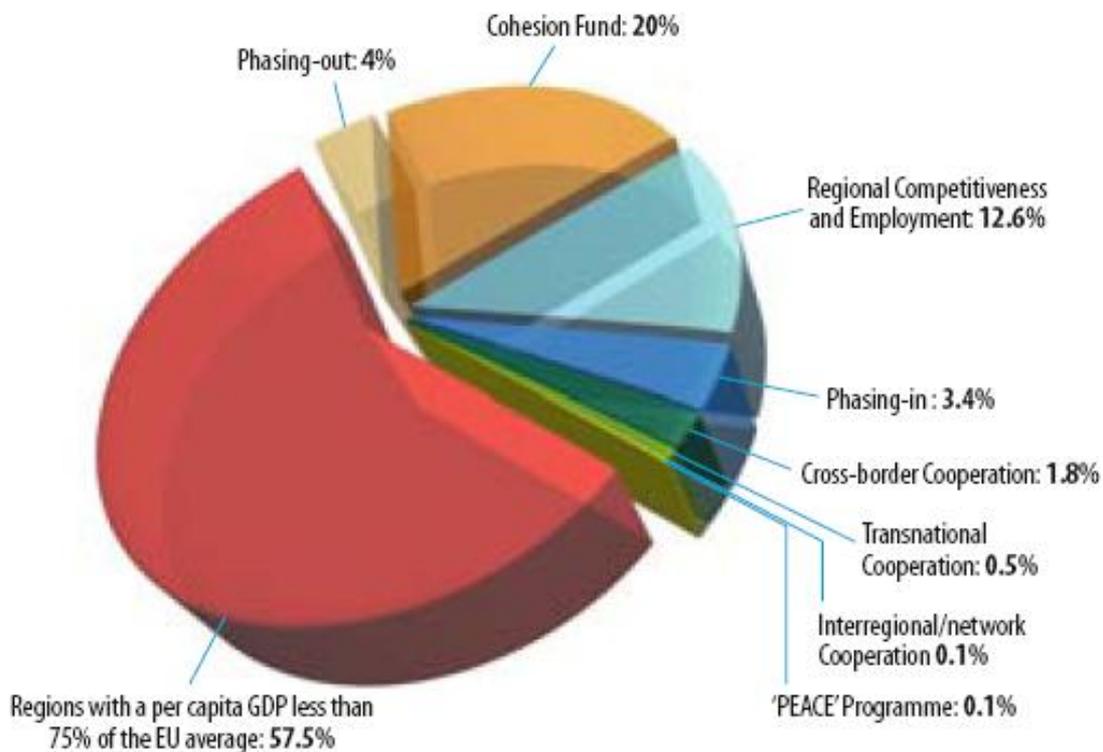
- **Research policy for excellence in research**
    - Framework Programme (FP)
  - **Enterprise and Industry Policy for excellence in business**
    - Competitiveness and Innovation Programme (CIP)
  - **Regional Policy for a balanced territorial development (ERDF & CF)**
    - Reducing regional disparities, in a sustainable way
    - Promoting regional competitiveness, growth and jobs
  - **Social Policy for employment and social inclusion (ESF)**
    - More & better jobs, training,
  - **Other policies:** education, rural and coastal development.
- **Adapting excellence to regional needs** (*smart specialisation*)
- **Regional innovation systems require synergies**

# Specificities of Cohesion Policy

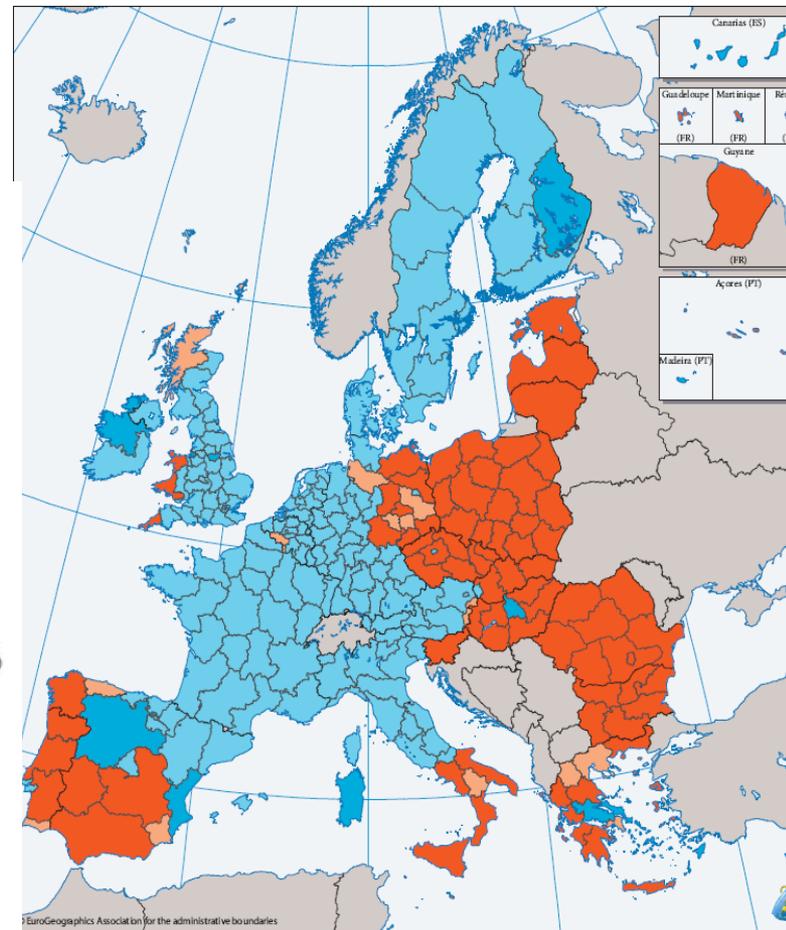
- **Reducing regional disparities**
  - **Promoting competitiveness, growth and jobs**
  - **Addressing sustainability issues**
  - **2 Structural Funds (SF):**
    - ERDF: European Regional Development Fund
    - ESF: European Social Fund (e.g. for human capital)
  - **RTD-I crucial to regions' economic performance**
  - **Decentralised management (OPs) & partnership**
  - **€ 86 billion=25% from SF to RTD-I (2007-2013)**
  - **€ 10 billion to RIs, 75% in Convergence regions**
  - **€ 148 million for exploiting RIs across borders**
- Cohesion Policy & EU 2020: current & next period...**



# Cohesion Policy 2007-2013



**Total: € 347 billion**



**Structural Funds 2007-2013:  
Convergence and Regional Competitiveness Objectives**

- Convergence Regions
- Phasing-out Regions
- Phasing-in Regions
- Competitiveness and Employment Regions

# Cohesion Policy approach to RIs

- **Current situation**

- Strong regional disparities: RIs concentrated in a few leading regions

- **Towards a balanced distribution of RIs**

- Not spreading the money / Not building the same RIs in all regions
- Fostering existing centres of excellence in a triple helix system
- Enabling new ones to emerge in the regions with the greatest needs

- **European Territorial Cooperation (ETC)**

- Linking regional RIs to other interested regions / remote access
- Dissemination of good practice across EU (Interreg / RfEC)
- EGTC: a new legal instrument to facilitate cooperation

- **Impact assessment of RIs on regional development**

- Cost / benefit analysis for major projects (JASPERS for techn. Assist.)
- Impact assessment studies at regional level
- innovative businesses: start-ups, spin-offs, SMEs (incubators, clusters)

# Synergies between EU policies

- **Need to joint efforts for covering high costs of RIs**
    - Bringing together EU, national, regional and private funds
    - Combining cohesion policy & research policy (ERDF & FP7)
    - Ensuring that EU remains a leading player in the world of RTD-I
    - Meeting long term challenges (e.g. climate, energy, demography)
  - **COMM “Competitive EU regions through RTD-I” (2007)**
    - Underlining potential for synergies between FP7 / CIP / SF
    - Practical guide informing about funding options (FP7 / CIP / SF)
  - **Complementary financing: possible for RIs**
    - FP7: planning, design & access to RIs / ERDF: construction of RIs
  - **Complementary financing: possible for RTD-I activities**
    - After the construction of RIs funded by FP7 and/or ERDF, the use of RIs for research activities may be supported by FP7, CIP, ERDF/ESF
- (no double or mixed financing for the same part of a project!)**

# Role of national / regional authorities implementing the different EU policies:

- **Exploiting the potential for synergies**
- **Fostering co-ordinated access to the EU funds:**  
possible support of ESFRI projects under SF (e.g. ELI), to be explored with Managing Authorities (ad hoc template)
- **Ensuring communication & information**
- **Updating a database of projects**
- **Preventing financial irregularities**



# From synergies of policies....: Recommendations at EU policy level

- **Strengthen cooperation among EU Commission DGs:**
  - Higher education, research, enterprise & industry policies
  - Structural policies: regional, social, rural & maritime policies
- **Reflect on harmonisation and simplification for EU 2020:**
  - financial rules: eligibility of expenditure & payments of expenses,
  - Procedures: submission and assessment of projects,  
*[but specificities, differences between centrally or shared management]*
- **Promote good practice of policy mix & governance:**
  - Identify regional innovation systems based on policy mixes fostering complementarities in the implementation of EU policies linked to R&I,
  - Analyse success factors, e.g. governance / partnership issues,
  - Disseminate good practice and encourage its implementation.



# ... to synergies of action:

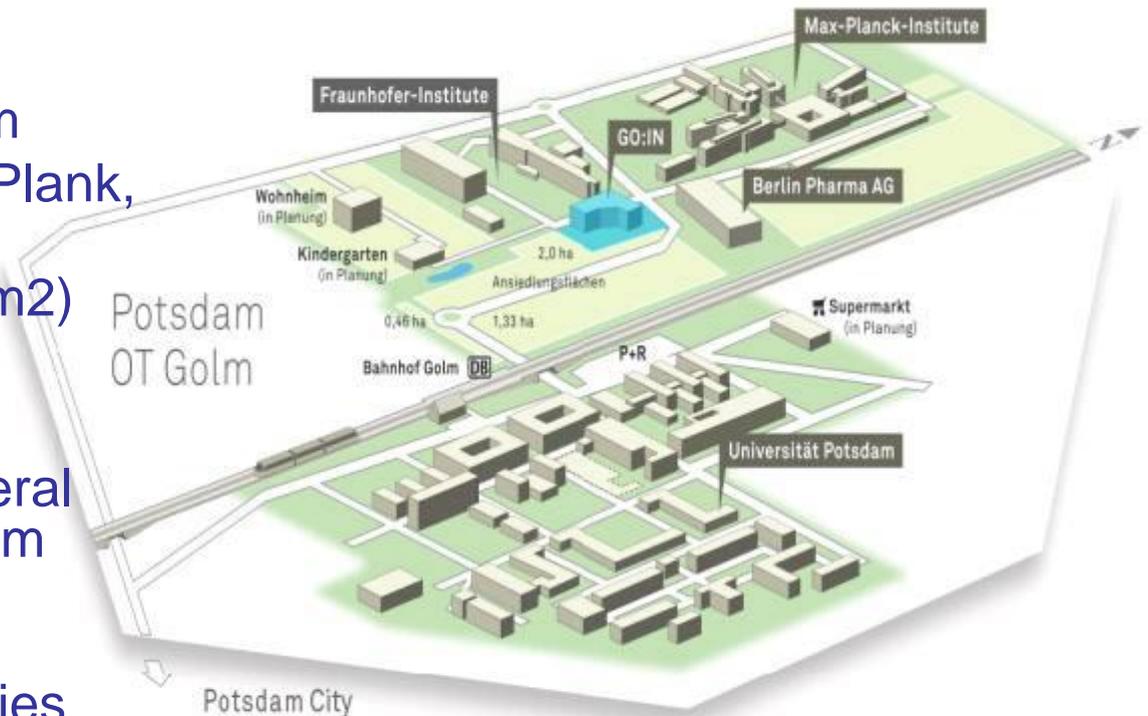
## Recommendations to MS & regions

- **Establish nat. & reg. integrated innovation strategies:**
  - combining EU funding opportunities linked to research & innovation,
  - catalysing partnership among public and private stakeholders, in particular between universities, research centres and businesses (e.g. science parks with incubators for innovative start-ups, EIT-KICs)
- **Explore possible ERDF support to FP7 or CIP projects:**
  - selected but not retained by FP7 or CIP due to the lack of financial resources (if regional impact); ERDF may also use of related ideas,
  - identified and shaped in the context of centrally managed EU projects, but whose implementation falls outside the scope of EU programmes,
- **Foster transfer of good practice to large scale:**
  - good practice tested at pilot scale (e.g. under FP7-RegPot/RoK, CIP, Interreg) could be mainstreamed into ERDF operational programmes,

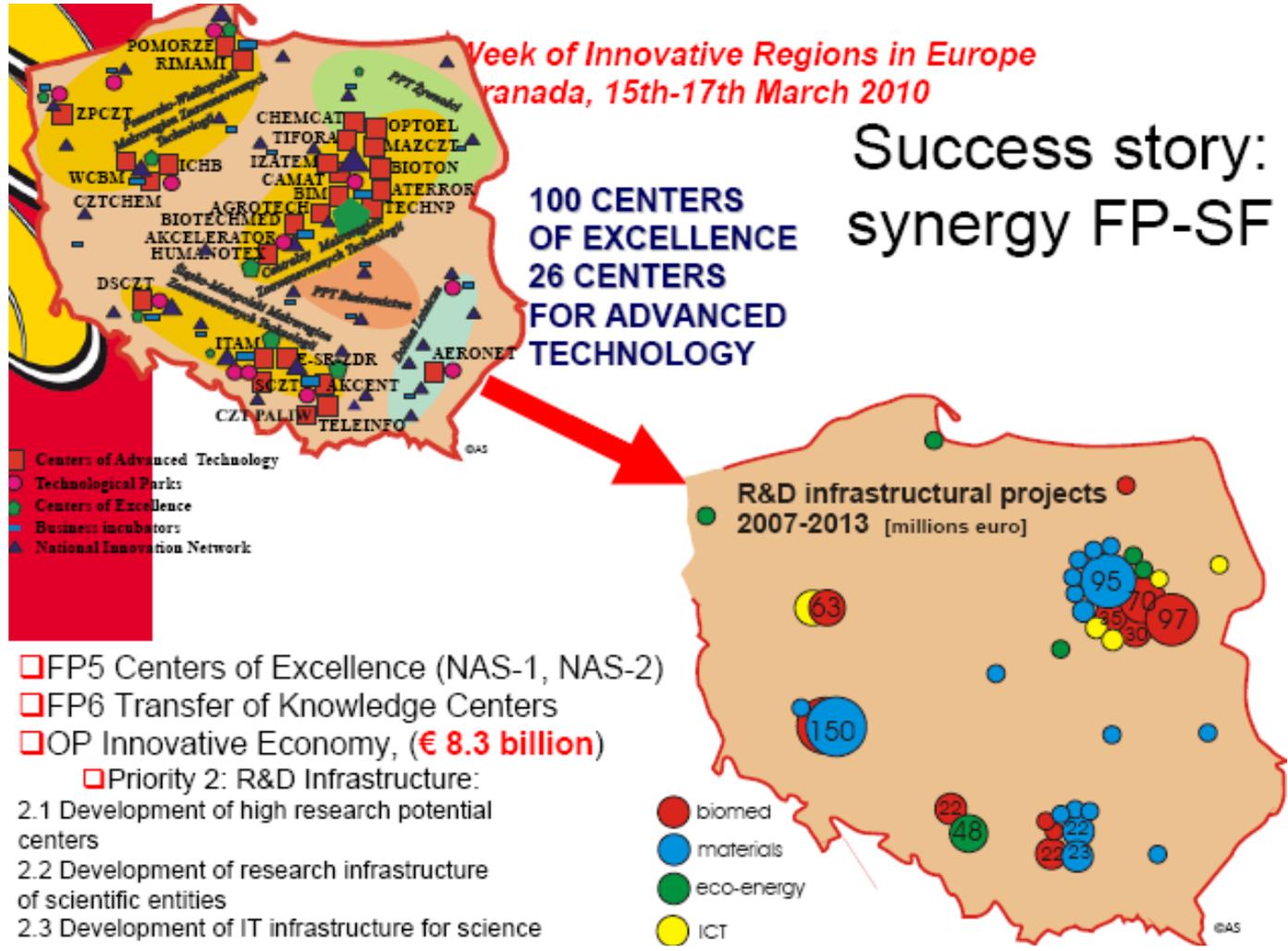
# Potsdam-Golm Science Park (Germany)

## *(Finalist RegioStars Award 2009)*

- **ERDF: € 74 million** (1997-2007) for research infrastructure:
  - University of Potsdam
  - Research institutes (Plank, Fraunhofer)
  - GO:INcubator (4,000m<sup>2</sup>)
  - 1.300 scientists
  - 7,000 students
- **FP5-6-7 support** for several joint research projects from University and research institutes
- **Network** of research bodies and enterprises



# Success story in Poland: synergy FP-SF



**Source: Dr Andrzej Siemaszko, Head of NCP Poland (WIRE conference, Granada, 17/03/2010)**

# Last recommendation for SF support!

**It is necessary to explain the impact of the RIs-project on the economy of the region, in particular in terms of creation and development of innovative businesses (including spin-offs, start-ups, etc).**

**For instance, in the framework of some RIs-projects, industrial partners have been identified and letters of interest from companies have been received, demonstrating the potential impact on the economy.  
(example of ELI project)**

# Sources of advice & information

## Visit the EU specific websites

- Practical Guide on EU funding opportunities for research & innovation:  
[http://cordis.europa.eu/eu-funding-guide/home\\_en.html](http://cordis.europa.eu/eu-funding-guide/home_en.html)
- FP7: [http://cordis.europa.eu/fp7/home\\_en.html](http://cordis.europa.eu/fp7/home_en.html)
- CIP: [http://ec.europa.eu/cip/index\\_en.htm](http://ec.europa.eu/cip/index_en.htm)
- ERDF: Managing Authorities for the Operational Programmes  
[http://ec.europa.eu/regional\\_policy/atlas2007/index\\_en.htm](http://ec.europa.eu/regional_policy/atlas2007/index_en.htm)
- ESF: Managing Authorities for the Operational Programmes  
[http://ec.europa.eu/employment\\_social/esf/index\\_en.htm](http://ec.europa.eu/employment_social/esf/index_en.htm)

## Contact the information centres & the MAs in the MS

- EEN – Enterprise Europe Network: for FP7 and CIP
- NCP – National Contact points: for FP7; for CIP (energy & ICT)
- SF – Managing Authorities: contacts & OPs summaries in web-sites; funding depends on their budget planning, timing and procedures.

***Thank you for your attention !***